

## Aurora KiwiSaver Scheme Member Identification Information

The Anti-Money Laundering and Countering Financing of Terrorism Act 2009 requires Aurora Capital to verify the identity of new clients and, periodically, reconfirm information about existing clients.

Member Number:

### Personal Details

Title (please tick):  Mr  Mrs  Miss  Ms  Dr  Other

First and Middle Name(s)

Surname

Date of Birth

  /   /    

Gender: Male / Female / Other

Email Address

Phone Number

Residential Address (not a PO Box):

Number

Street

Suburb

City

Postcode

Country

Postal Address (if different from Residential)

IRD Number

       

### Identity Verification

There are three ways to complete identity verification. The options are:

- 1) Documentary identity verification – certified face-to-face by a Trusted Referee and verified by an Authorised Individual\* (complete following pages); **OR**
- 2) Documentary identity verification – verified face-to-face by an Authorised Individual\* (complete following pages); **OR**
- 3) Electronic identity verification – your adviser may conduct identity verification by electronic means. In such circumstances, documentary identity verification would not be required. Please ensure an Electronic Verification Certificate is provided to Aurora Capital.

For (1) and (2) previous, you must ensure the relevant documents are attached to this form.

Please complete the following documentary identity verification forms for all individuals named in this application and provide the appropriate documentation.

Note: document certification by a Trusted Referee must occur no earlier than three months prior to the date of presentation

1. Please attach a copy of ONE of the following documents that has not expired in order to verify your identity (please tick):

- Signed New Zealand Passport  New Zealand Driver Licence  New Zealand Firearms Licence

Should you not have one of these types of identification, you may provide a copy of ONE of the following (please tick):

- Birth Certificate (New Zealand or foreign)  Certificate of New Zealand or foreign citizenship

AND also provide a copy of ONE of the following (please tick):

- New Zealand bank credit or debit card (including name and signature)  Tertiary student photo ID  HANZ 18+ card

2. To verify your address, you must also supply of ONE of the following documents (dated in the last 12 months):

- Utility bill  Bank statement  Letter from government agency

### Trusted Referee Certification

Name (Trusted Referee)\*\*

Trusted Referee Type

- have sighted the original documents selected above, each of which represents the identity (i.e. name, date of birth and residential address) of the applicant; and
- have signed copies of those documents and attached these to this form; and
- confirm that the copies of those documents attached are true copies of the original documents of the applicant sighted by me today.

Signature of Trusted Referee

Date

 /  / 

**\*\*A Trusted Referee must be:**

- at least 16 years of age; and
- not related, spouse or partner, living at the same residential address or involved in the transaction or business; and
- from the following list of Trusted Referees
  - Commonwealth representative
  - Registered teacher
  - Lawyer
  - Registered medical doctor
  - Notary Public
  - A person who has legal authority to take statutory declarations
  - Chartered accountant
  - Justice of the Peace
  - Member of Parliament
  - New Zealand Honorary Consul

### \*Authorised Individual Verification

\*Name (Authorised Individual)

Please tick one:

- I have met the applicant face-to-face and have sighted the original documents selected above, each of which represents the identity (i.e. name, date of birth, and residential address) of the applicant, in accordance with the Anti Money Laundering and Countering Financing of Terrorism Act 2009. Copies of the selected documents are attached to this form.
- I have verified the documents which have been certified by a Trusted Referee and have verified the identity information of the applicant, in accordance with the Anti Money Laundering and Countering Financing of Terrorism Act 2009.

\*Authorised Individual Signature

Date

 /  / 

\*Authorised Individual refers to a person listed on the Aurora Capital "Register of Approved individual to perform CDD".